



Privacy Policy

Privacy Policy for Fade In Financial

Fade In Financial and Greg Vojtanek recognize that the relationships with current and prospective clients are based on integrity and trust. I work hard to maintain your privacy and to preserve the private nature of my relationship with you. I place the highest value on the information you share with me. I will not disclose your personal information to anyone unless it is required by law or at your direction. I will not sell your personal information. Fade In Financial will provide this privacy statement to all clients annually.

I want my clients to understand what information I collect, how I use it, and how I protect your personal information.

Why I Collect Your Information

I gather information about you so that I can:

- Help design and implement the investment and planning related services I provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information I Collect and Maintain

I may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity such as your name, address, social security number, date of birth, and financial information.
- Information that I generate to service your financial needs.
- Information that I may receive from third parties with respect to your financial profile.



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What Information I Disclose

I am permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, in order for me to provide planning or investment management services to you, I may disclose your personal information in limited circumstances to various service providers such as the clearing firm or independent contractors hired by me. If the Financial Planner/Financial Advisor leaves Fade In Financial to join another firm, he or she may be permitted to retain copies of client information so that they can assist with the transfer of client accounts and continue to serve the client at the new firm.

“Opting-Out” of Third Party Disclosures: If you do not want your Financial Planner/Financial Advisor to retain copies of your client sensitive information when he or she leaves us to join another firm, you may contact me by calling 818.588.6892. Otherwise, Fade In Financial will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- I receive your prior written consent; or
- I have documentation that the recipient is your authorized representative; or
- I am required by law to disclose information to the recipient
Arrangements with companies or independent contractors not affiliated with Fade In Financial will be subject to confidentiality agreements.

How I Protect Your Personal Information

Privacy has always been important to me. I restrict and limit access to client information only to those who need to carry out their business functions. I maintain password-protected electronic and procedural safeguards to protect your confidential personal information.

_____ Owner, Fade In Financial